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| DIVISION OF RAIL AND MASS TRANSPORTATION ⏺  FEDERAL TRANSIT PROGRAMS |
| **ANNUAL DBE THRESHOLD QUESTIONNAIRE** |
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| *INSTRUCTIONS:* |
| This questionnaire will assist Caltrans Division of Rail and Mass Transportation (DRMT) determine how subrecipients of FTA funding will comply with Disadvantage Business Enterprise (DBE) obligations. This questionnaire will be conducted annually and is required of all DRMT subrecipients utilizing FTA funding. Failure of timely submission of this questionnaire may result in the cancelation of the FTA award. Return to FTADBEgoal@dot.ca.gov. |
| Threshold Requirements: *Federal Transit Administration (FTA) recipients receiving planning, capital, or operating assistance, who will award prime contracts exceeding in aggregate $250,000 in FTA funds in a federal fiscal year,* ***excluding transit vehicle purchases****, must have a Disadvantaged Business Enterprise (DBE) program and triennial DBE goal approved by FTA (49 C.F.R.§ 26).* |
| Subrecipient agency must answer all of the following questions and certify correctness. Incomplete questionnaires will be returned. Reporting periods are defined as:* *Previous total reporting period—October 2016 through September 2019*
* *Next annual reporting period—October 2019 through September 2020.*
* *Next total reporting period—October 2019 through September 2022.*
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| Name of subrecipient agency: |       |
| Type of organization: | Choose an item. |
| What FTA funding sources will likely be received by your agency during the *next total reporting period*: | [ ]  5310 | [ ]   | 53115311(f)CMAQ | [ ]  5339 | [ ]  5304 | [ ]  5307 | [ ]  Other |
| How many DOT-213, “Standard Agreement(s)” does your agency currently have open with DRMT? | Choose an item. |
| How much total FTA funding was your agency awarded by DRMT through a Standard Agreement from the *previous total reporting period*: | $      |
| How much in total FTA funding (all FTA funding sources) does your agency anticipate being awarded in the *next annual reporting period*? | $      |
| **Excluding transit vehicle purchases,** does your agency have any FTA supported projects planned in the *next annual reporting period* that may result in awarding third party contracts that cumulatively exceed $250,000.00 in total FTA funds? | [ ] Yes | [ ] No |
| **Excluding transit vehicle purchases,** does your agency have any FTA supported projects planned in the *next total reporting period* that may result in awarding third party contracts that cumulatively exceed $750,000.00 in FTA funds? | [ ] Yes | [ ] No |
| Does your agency currently receive FTA funding directly from FTA? | [ ] Yes | [ ] No |
| If yes to directly above, has FTA concurred with your agency’s DBE goals and methodology? If concurred by FTA, attach TrAMS screenshot as verification. | [ ] Yes | [ ] No |
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| I certify that the foregoing is true and correct, and: 1. If subrecipient agency meets the DBE threshold *at any time over the next total reporting period*, subrecipient agency will notify Caltrans DRMT HQ Program and Compliance Liaisons immediately.
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| SIGNATURE | DATE |  |
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| TITLE | REV03-2019 |